

I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail
addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231

#4
PATENT
100/00343

December 14, 2001
By Michelle Chan
Michelle Chan



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J. WALLACE PARCE et al.

Application No.: 09/975,133

Filed: October 10, 2001

For: HIGH THROUGHPUT SCREENING
ASSAY SYSTEMS IN MICROSCALE
FLUIDIC DEVICES

Examiner: Unknown

Art Unit: 1641

REQUEST FOR CORRECTED FILING
RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Attached is a copy of the Official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the Domestic Priority data as claimed by applicant should read as follows:

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/346,660 07/01/1999
WHICH IS A CON OF 08/671,987 06/28/1996 PAT 5,942,443
THIS APPLICATION IS A CIP OF 09/664,847 09/19/2000
WHICH IS A CON OF 09/179,242 10/26/1998 PAT 6,156,181
WHICH IS A CON OF 08/843,212 04/14/1997 PAT. 5,885,470
WHICH CLAIMS BENEFIT OF 60/015,498 04/16/1996

The corrections are noted on the enclosed copy of the filing receipt.

TECH CENTER 1600/2900

FEB 08 2002

RECEIVED

J. WALLACE PARCE et al.

Serial No. 09/975,133

Page 2

Please charge any fees or credit overpayment to the Deposit Account No. 03-0177.

Respectfully submitted,



Gulshan H. Shaver

Reg. No. 37,496

CALIPER TECHNOLOGIES CORP.

605 Fairchild Drive

Mountain View, CA 94043

Ph: (650) 623-0675

Fax: (650) 623-0500

GHS:mc



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/975,133 | 10/10/2001 | 1641 | 740 | 100/00343 | 14 | 19 | 2 |

CONFIRMATION NO. 2344

021569
CALIPER TECHNOLOGIES CORP
605 FAIRCHILD DRIVE
MOUNTAIN VIEW, CA 94043

FILING RECEIPT

OC000000007063092

Date Mailed: 11/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

J. Wallace Parce, Palo Alto, CA;
Anne R. Kopf-Sill, Portola Valley, CA;
Luc J. Bousse, Los Altos, CA;

RECEIVED

FEB 08 2002

TECH CENTER 1600/2900

Assignment For Published Patent Application

Caliper Technologies Corp., Mountain View, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/346,660 07/01/1999
WHICH IS A CON OF 08/671,987 06/28/1996 PAT 5,942,443
WHICH IS A CON OF 09/664,847 09/19/2000
WHICH IS A CON OF 09/179,242 10/26/1998 PAT 6,156,181 *
WHICH IS A CON OF 08/843,212 04/14/1997 PAT 5,885,470 *
WHICH CLAIMS BENEFIT OF 60/015,498 04/16/1996 *

(*) Data inconsistent with PTO records.

THIS APPLICATION
IS A CIP →

Foreign Applications

If Required, Foreign Filing License Granted 11/13/2001

Projected Publication Date: 02/21/2002

Non-Publication Request: No

Early Publication Request: No

Title

High throughput screening assay systems in microscale fluidic devices

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



(Modified) PTO/SB/21 (12-97)

For use through 9/30/00 OMB 0651-0031

Receipt

| | | |
|---|----------------------|------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/975,133 |
| | Filing Date | October 10, 2001 |
| | First Named Inventor | Parce |
| | Group Art Unit | 1641 |
| | Examiner Name | |
| Total number of pages This Submission | Attorney Docket No. | 100/00343 |

TECH CENTER 1600/2900

FEB 08 2002

RECEIVED

| ENCLOSURES (check all that apply) | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> No Fee Required <input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Info. Discl. Stmt. (2 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div>Request for Corrected Filing Receipt Return Receipt Postcard</div> |
| Authorization to Charge Deposit Account Please charge Deposit Account No. 03-0177 for any additional fees associated with this paper or during the pendency of this application. | | |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | |
|--|--------------------------|-----------------|
| Individual Name | Gulshan H. Shaver | Reg. No. 37,496 |
| Signature | <i>Gulshan H. Shaver</i> | |
| Date | 12-14-01 | |

| CERTIFICATE OF MAILING | | |
|---|----------------------|--------------------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: <i>December 14, 2001</i> | | |
| Typed or Printed Name | Michelle Chan | |
| Signature | <i>Michelle Chan</i> | Date: <i>December 14, 2001</i> |